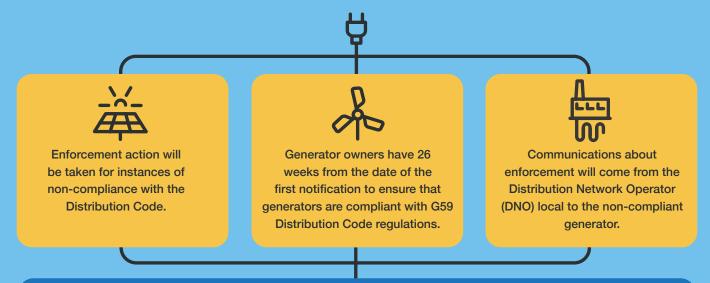


# Enforcement process for non-compliance with the Distribution Code



## Escalating warnings and enforcement action

## Week 0: first notice

Written notification sets out to generator owner the non-compliance or unknown status of their generator site and sets out the actions to address non-compliance.

## Week 4: second notice

Written notification sets out whether a response has been received to the first notice. If no response is provided then a physical, durable copy of the notification will be attached at, or as close to, the generator site as possible. The notification will repeat the actions to address non-compliance.

# Week 8: third notice

Repetition of second notice: written notification sets out whether an appropriate response to non-compliance has been provided. If no response is provided, another physical copy of notification attached at, or close to, the generator site.

# Week 16: fourth notice

Repetition of second notice.

### $\mathbf{\Lambda}$

Week 22: final notice

Repetition of second notice.

# $\mathbf{\Lambda}$

### Week 26: de-energisation

If no evidence of compliance provided or evidence is not sufficient to demonstrate compliance with the Distribution Code, the DNO will make arrangements to de-energise the generation site's Connection Point in accordance with Distribution Code DGC12.



Generator owners are entitled to seek a derogation (like an appeals process), via the DNO, if they believe they should be exempt from enforcement action. However, the DNO is not obliged to act on such a request from a customer or generator where there is no technical reason preventing them from complying with the relevant requirements. Derogations are rarely granted, but Ofgem's decision making time will be factored into the enforcement time period.



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Repetition of second notice.



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